

Docket No. 197261US2



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shusuke KAYA, et al.

FILING DATE: Herewith

FOR: SEMICONDUCTOR LASER DEVICE

jc882 U.S. PTO  
09/662704  
09/15/00



**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

Shusuke KAYA	Tokyo, Japan
Takao NINOMIYA	Tokyo, Japan
1 Michio OKUBO	Tokyo, Japan
Seiji UCHIYAMA	Tokyo, Japan

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBOLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak  
Registration No. 24,913  
C. Irvin McClelland  
Registration Number 21,124

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**22850**

Tel. (703) 413-3000  
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(OSMMN 11/98)

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>	
(Only for new nonprovisional applications under 37 CFR 1.53(b))	

Attorney Docket No.	197261US2
First Inventor or Application Identifier	Shusuke KAYA, et al.
Title	SEMICONDUCTOR LASER DEVICE

09/15/00

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)		<b>ACCOMPANYING APPLICATION PARTS</b> <ul style="list-style-type: none"> <li>6. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</li> <li>7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</li> <li>8. <input type="checkbox"/> English Translation Document (if applicable)</li> <li>9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</li> <li>10. <input type="checkbox"/> Preliminary Amendment</li> <li>11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</li> <li>12. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.</li> <li>13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</li> <li>14. <input checked="" type="checkbox"/> Other: Notice of Priority, List of Inventors' Names and Addresses</li> </ul>	
2. <input checked="" type="checkbox"/> Specification	Total Pages <b>21</b>		
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets <b>3</b> (Formals)		
4. <input type="checkbox"/> Oath or Declaration	Total Pages <b>  </b>		
a. <input type="checkbox"/> Newly executed (original or copy)			
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 15 completed)			
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).			
5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			
15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:			
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)			of prior application no.:
Prior application information: Examiner: Group Art Unit:			
16. Amend the specification by inserting before the first line the sentence:			
<input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on			
<input type="checkbox"/> This application claims priority of provisional application Serial No. Filed			
<b>17. CORRESPONDENCE ADDRESS</b>			
 <b>22850</b> (703) 413-3000 FACSIMILE: (703) 413-2220			

Name:	Marvin J. Spivak	Registration No.:	24,913
Signature:			Date: 9/15/00
Name:	C. Irvin McClelland	Registration No.:	

Registration Number 21,124

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shusuke KAYA, et al.

GAU:

SERIAL NO: New Application

EXAMINER:

FILED: Herewith

FOR: SEMICONDUCTOR LASER DEVICE

**REQUEST FOR PRIORITY**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

jc882 U.S. PRO  
09/662704  
09/15/00

SIR:

- Full benefit of the filing date of U.S. Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §120.
- Full benefit of the filing date of U.S. Provisional Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
Japan	11-261639	September 16, 1999

Certified copies of the corresponding Convention Application(s)

- are submitted herewith
- will be submitted prior to payment of the Final Fee
- were filed in prior application Serial No. filed
- were submitted to the International Bureau in PCT Application Number .  
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and  
(B) Application Serial No.(s)
  - are submitted herewith
  - will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Marvin J. Spivak*

Marvin J. Spivak  
Registration No. 24,913

*C. Irvin McClelland*  
C. Irvin McClelland  
Registration Number 21,124



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Docket No. 197261US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Shusuke KAYA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SEMICONDUCTOR LASER DEVICE

FEES TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	32 - 20 =	12	× \$18 =	\$216.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$78 =	\$0.00
■ MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$260 =	\$260.00
■ LATE FILING OF DECLARATION			+ \$130 =	\$130.00
			BASIC FEE	\$690.00
			TOTAL OF ABOVE CALCULATIONS	\$1,296.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$1,296.00

Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.

A check in the amount of \$1,296.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak  
Registration No. 24,913

C. Irvin McClelland  
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